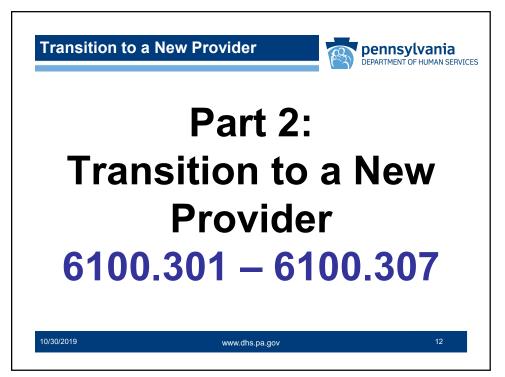
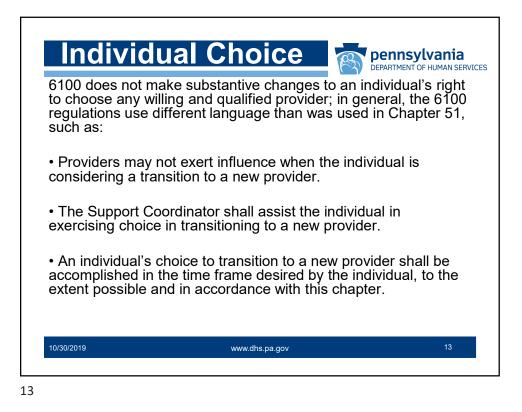
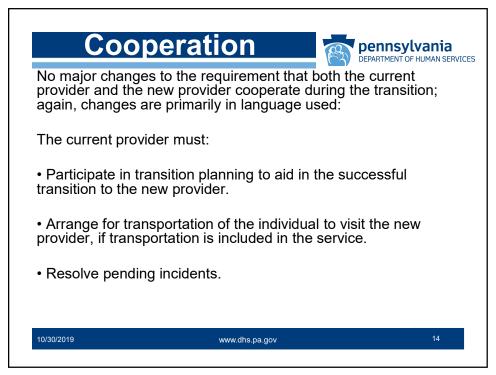
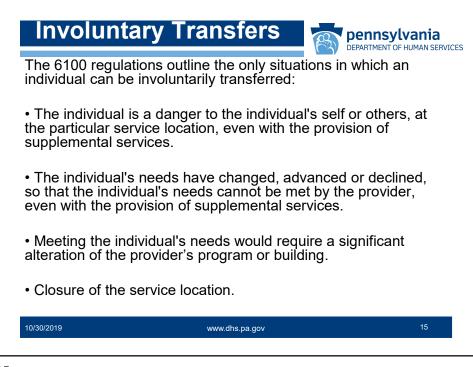


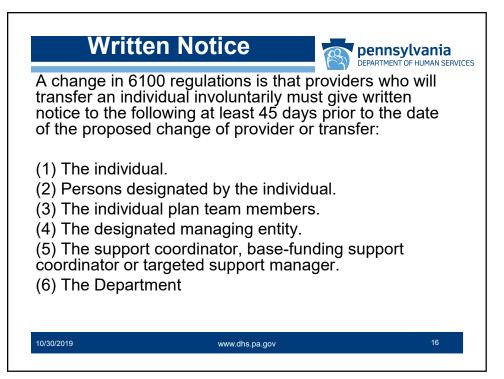
Definition	Applies to:
HCBS—Home and community-based service—An activity, assistance or product	All rules for Targeted Support Management.
provided to an individual that is funded through a Federally-approved waiver program or the State plan.	All rules for services funded through the waivers.
Service—An activity, assistance or product provided to an individual and paid through a Federally-approved waiver program, the State plan or base-funding. A service includes a HCBS, support coordination,	<ul> <li>All rules for Targeted Support Management.</li> <li>All rules for services funded through the waivers.</li> </ul>
TSM, agency with choice, organized health care delivery system, and vendor goods and services, unless specifically exempted in this chapter.	All rules for base-funded services.
Support—An unpaid activity or assistance provided to an individual that is not planned or arranged by a provider.	Any unpaid activity or assistance provided to an individual that is not planned or arranged by a provider.

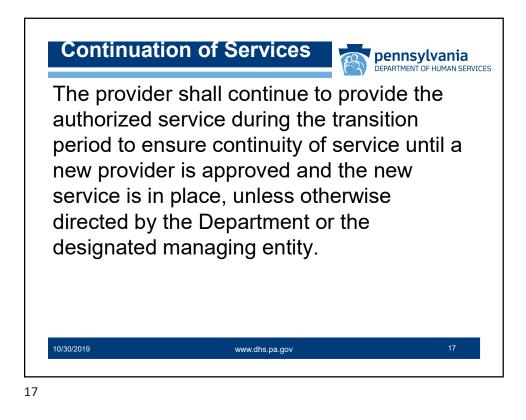




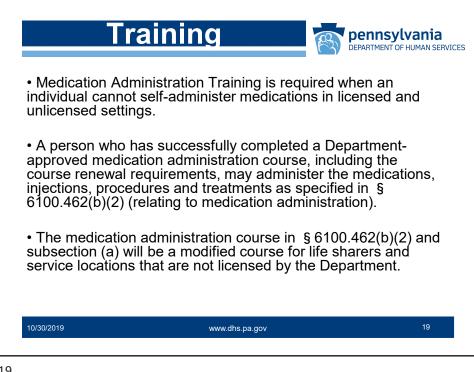




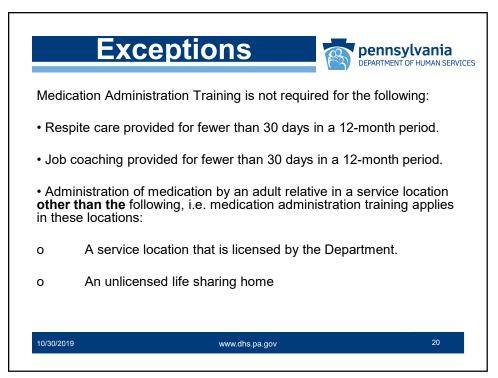


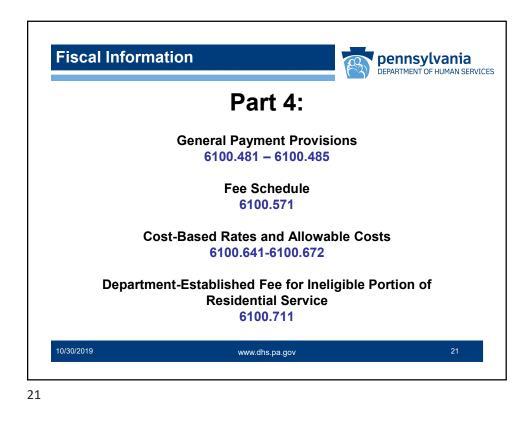


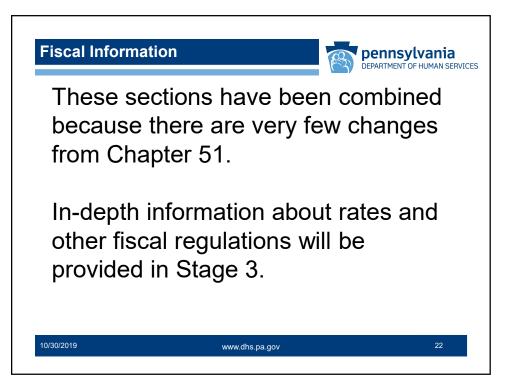


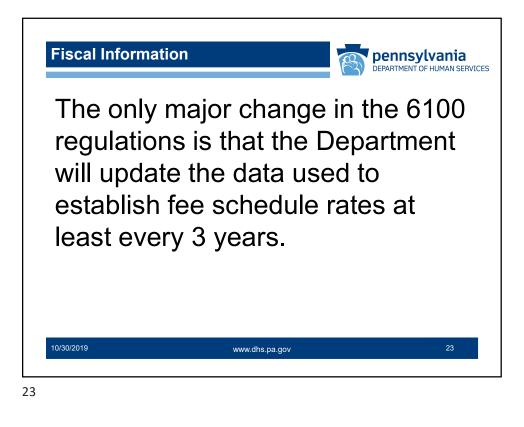


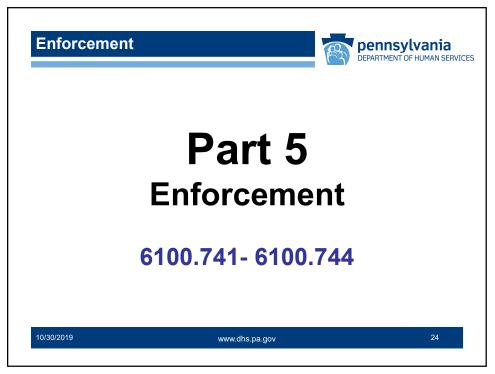


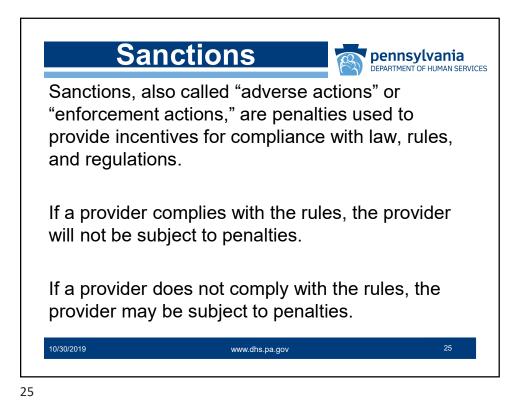


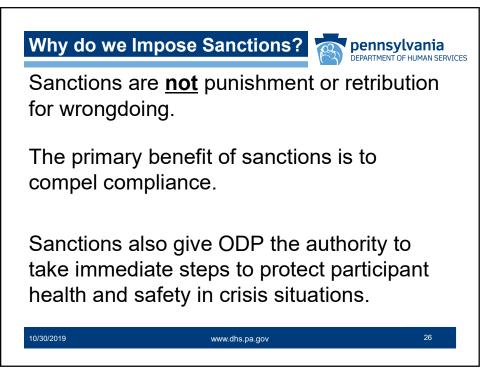






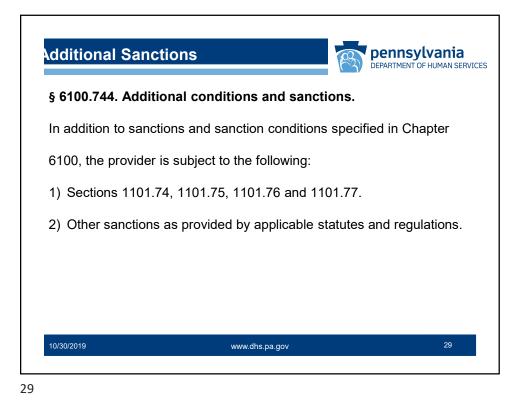


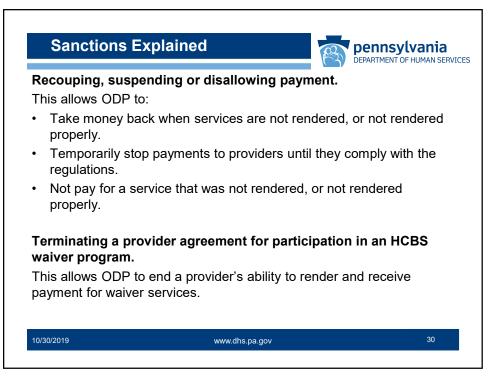


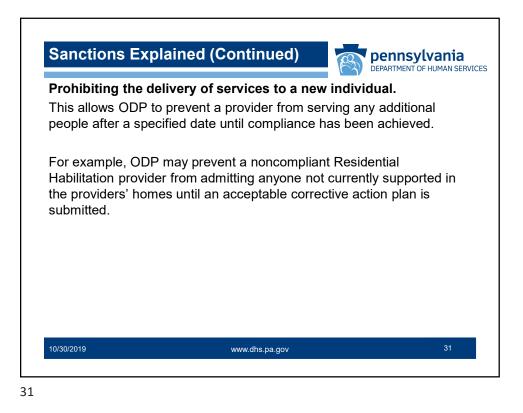


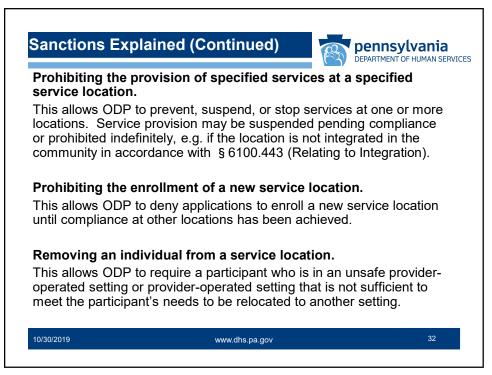
Sa	anctions may be applied when a provider:	
•	Does not comply with any part of Chapter 6100.	
•	Does not submit an acceptable Corrective Action Plan as defined at § 6100.3 in response to non-compliance or a preliminary determination of non-compliance with Chapter 6100 in a time frame specified by ODP.	
Fails to implement all or part of a Corrective Action Plan		
Commits fraud, deceit, or falsification of documents or information related to Chapter 6100.		
<ul> <li>Does not provide ODP, the AE, and/or any other authorized Federal and State officials free and full access to its policies and records, and/or to the participants receiving services.</li> </ul>		
•	Does not provide documents or other information in a timely manner upon the request of the ODP, the AE, and/or any other authorized Federal and State agency.	
10/3	30/2019 www.dhs.pa.gov 27	

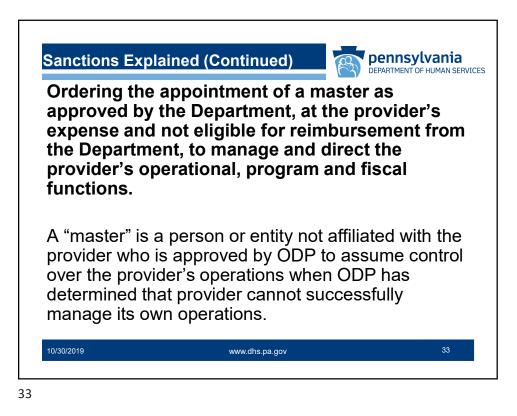


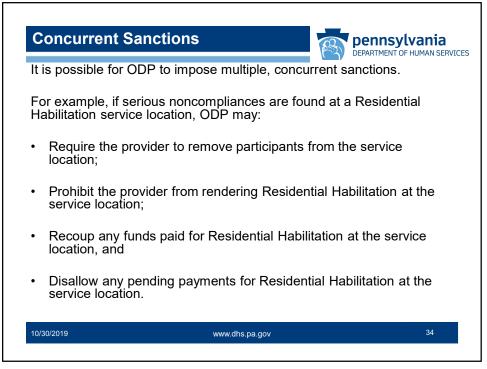




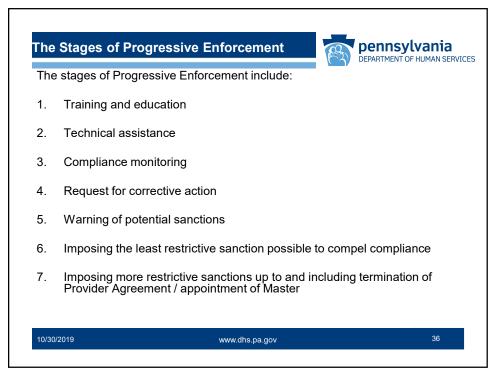




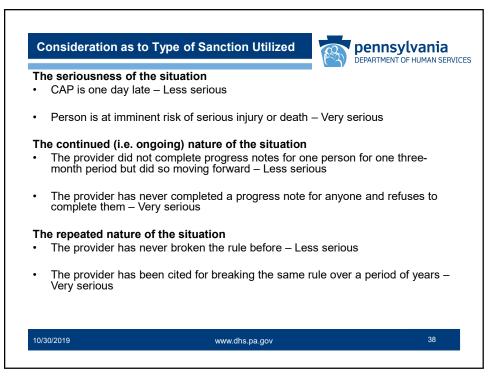


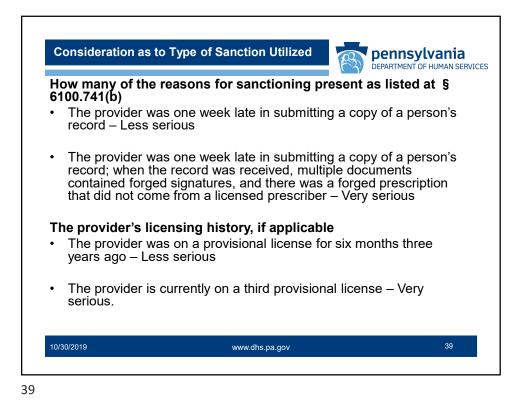


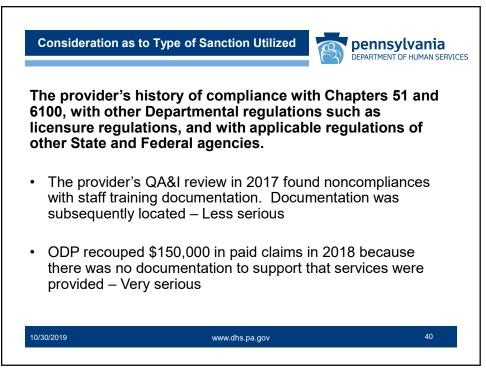




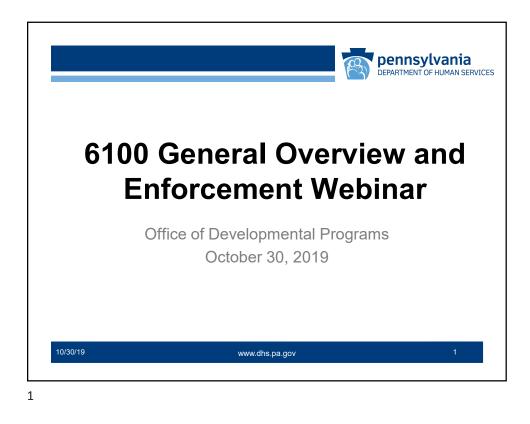
ODP will consider the following factors when determining and implementing a sanction or combination of sanctions:			
1.	The seriousness of the situation		
2.	The continued (i.e. ongoing) nature of the situation		
3.	The repeated nature of the situation		
4.	How many of the reasons for sanctioning present as listed at § 6100.741(b)		
5.	The provider's licensing history, if applicable		
6.	The provider's history of compliance with Chapters 51 and 6100, with other Departmental regulations such as licensure regulations, and with applicable regulations of other State and Federal agencies.		
10/30	0/2019 www.dhs.pa.gov 37		

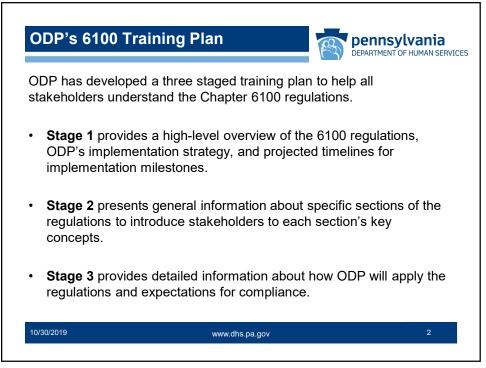


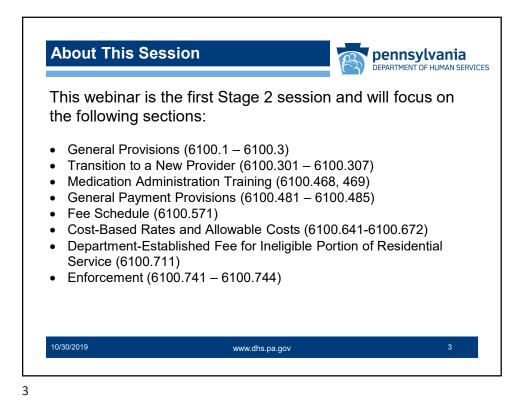


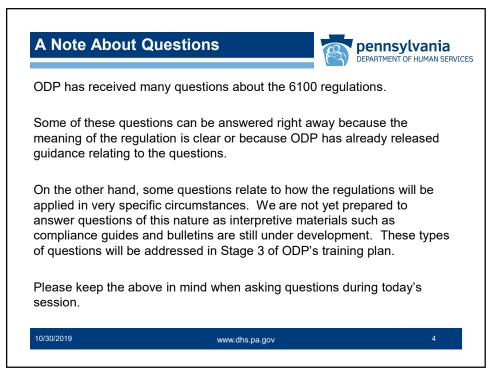


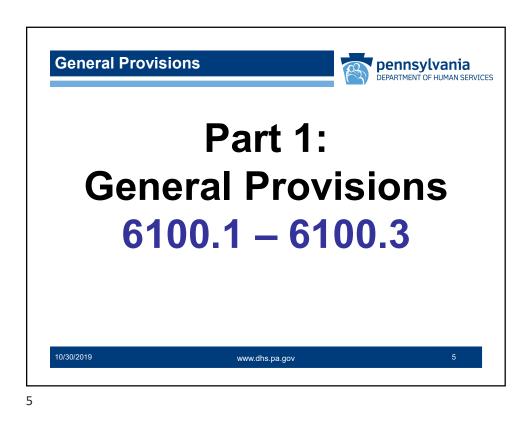
Questions and	Answers	DEPARTMENT OF HUMAN SERVICES
	2	
10/30/2019	www.dhs.pa.gov	41

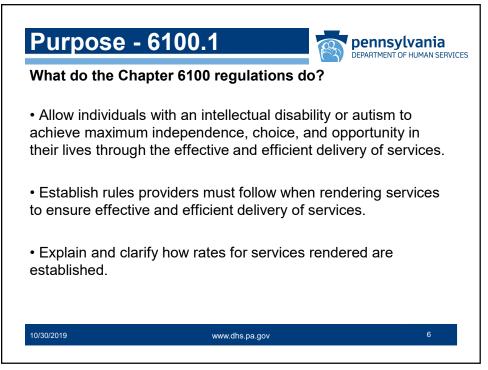


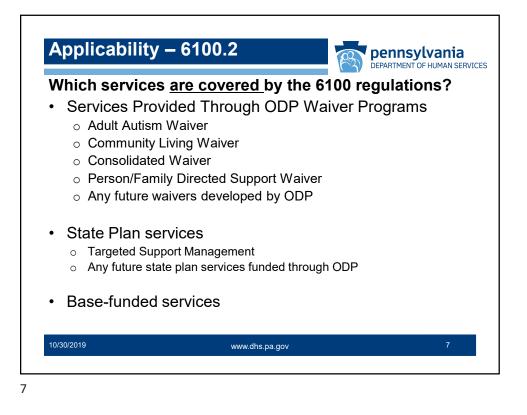


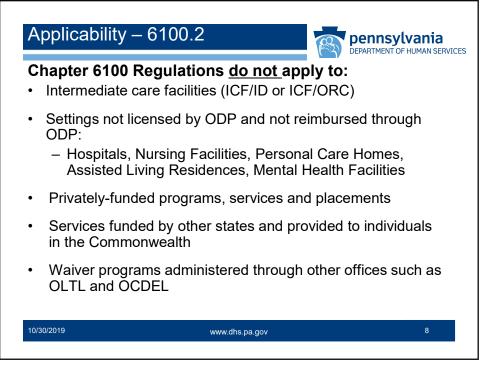


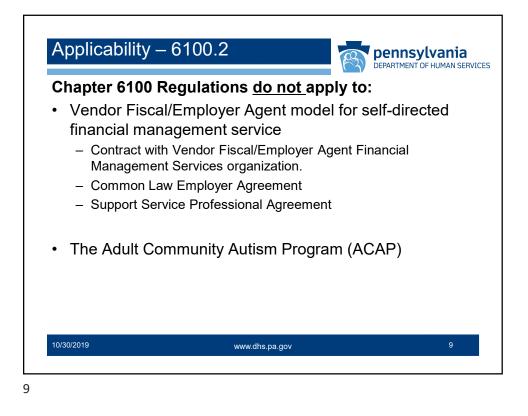


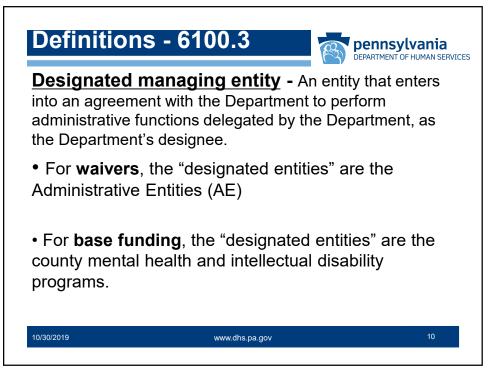




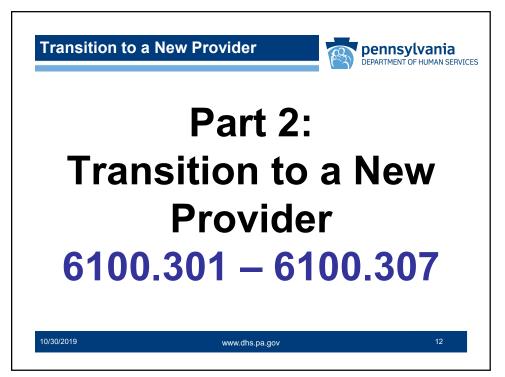


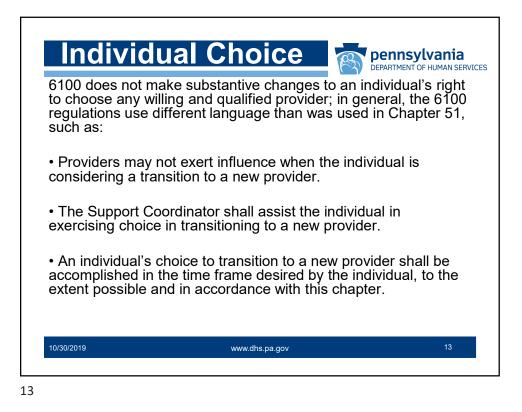


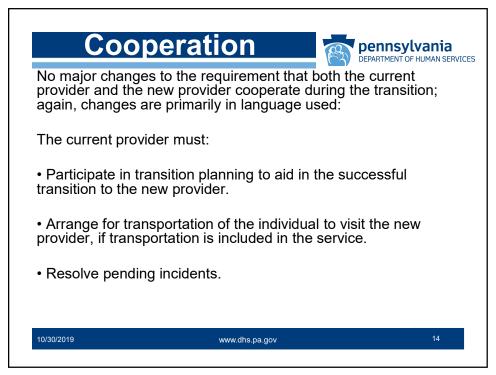


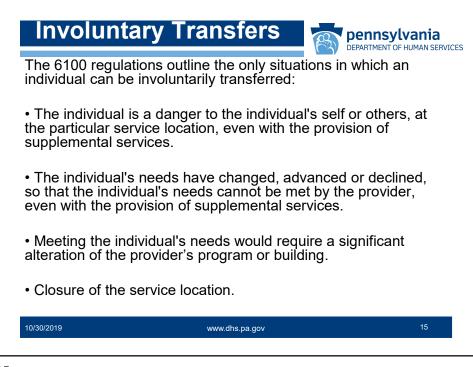


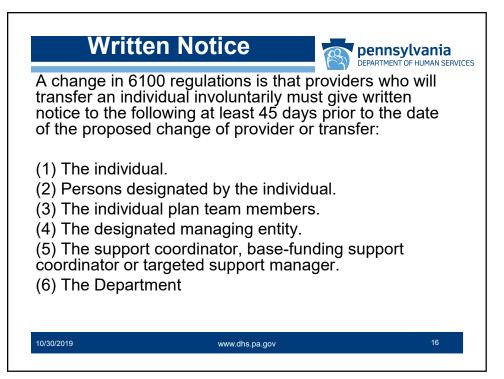
Definition	Applies to:
HCBS—Home and community-based service—An activity, assistance or product	All rules for Targeted Support Management.
provided to an individual that is funded through a Federally-approved waiver program or the State plan.	All rules for services funded through the waivers.
Service—An activity, assistance or product provided to an individual and paid through a Federally-approved waiver program, the State plan or base-funding. A service includes a HCBS, support coordination,	<ul> <li>All rules for Targeted Support Management.</li> <li>All rules for services funded through the waivers.</li> </ul>
TSM, agency with choice, organized health care delivery system, and vendor goods and services, unless specifically exempted in this chapter.	All rules for base-funded services.
Support—An unpaid activity or assistance provided to an individual that is not planned or arranged by a provider.	Any unpaid activity or assistance provided to an individual that is not planned or arranged by a provider.

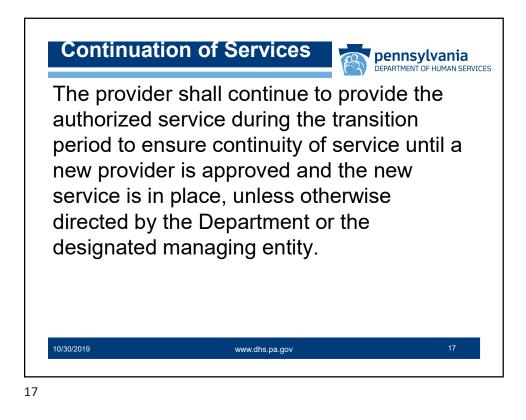




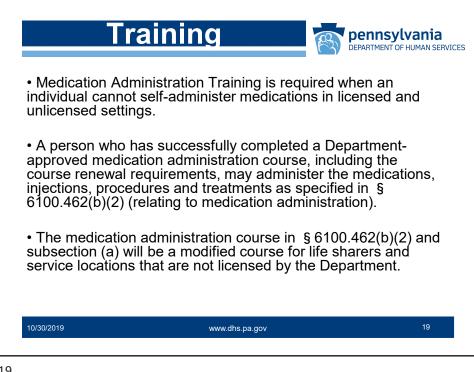




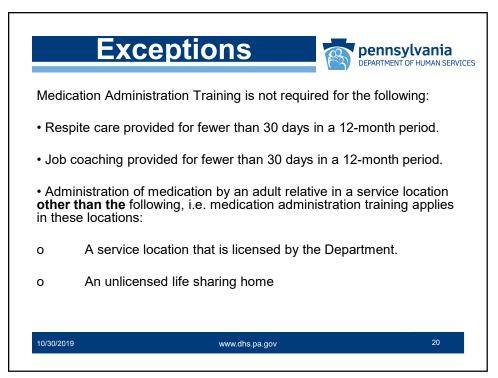


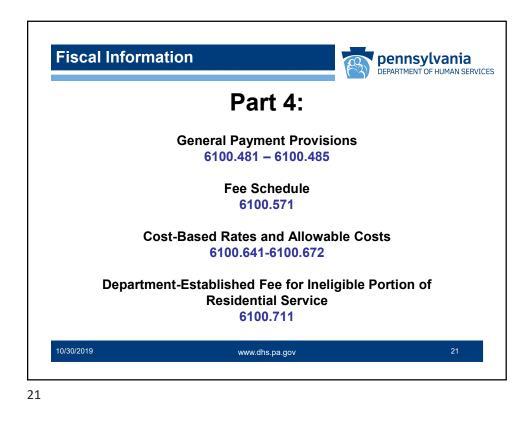


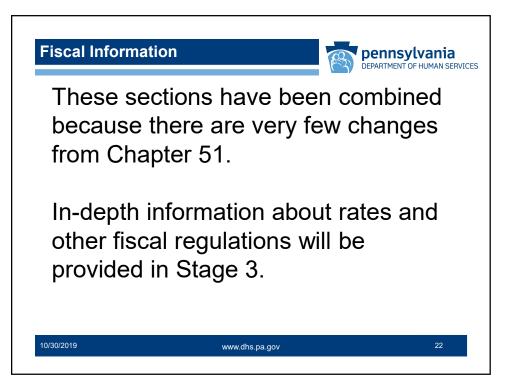


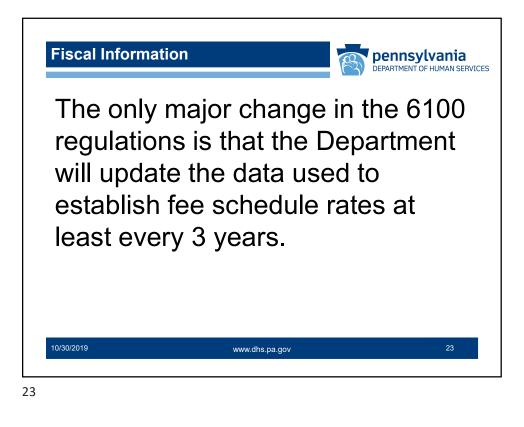


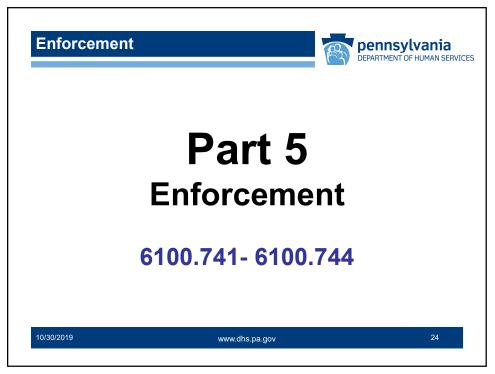


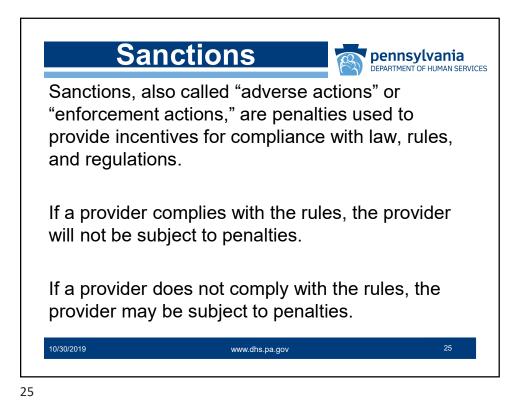


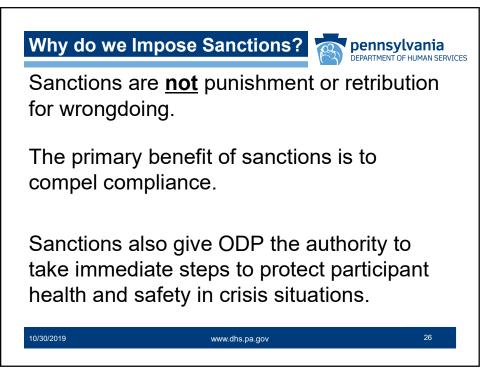






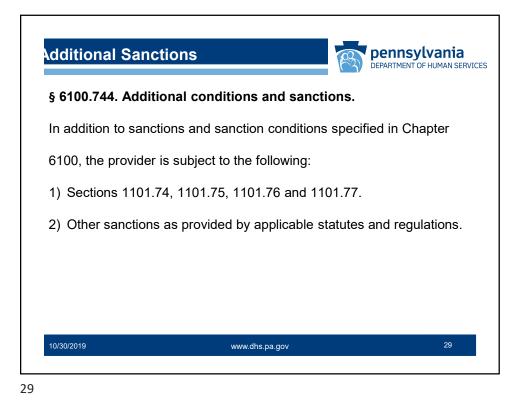


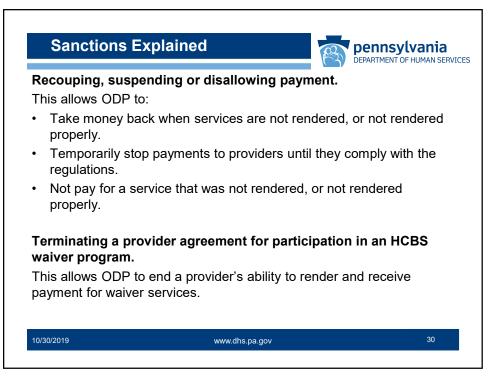


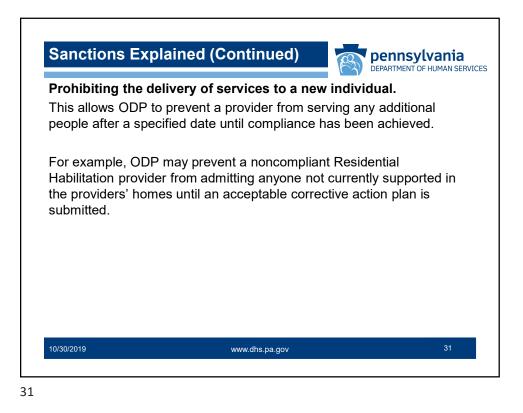


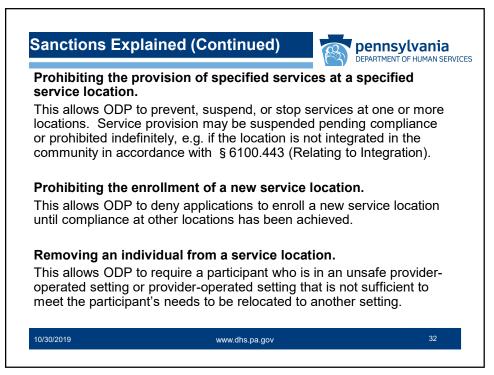
Sa	anctions may be applied when a provider:	
•	Does not comply with any part of Chapter 6100.	
•	Does not submit an acceptable Corrective Action Plan as defined at § 6100.3 in response to non-compliance or a preliminary determination of non-compliance with Chapter 6100 in a time frame specified by ODP.	
Fails to implement all or part of a Corrective Action Plan		
Commits fraud, deceit, or falsification of documents or information related to Chapter 6100.		
<ul> <li>Does not provide ODP, the AE, and/or any other authorized Federal and State officials free and full access to its policies and records, and/or to the participants receiving services.</li> </ul>		
•	Does not provide documents or other information in a timely manner upon the request of the ODP, the AE, and/or any other authorized Federal and State agency.	
10/3	30/2019 www.dhs.pa.gov 27	

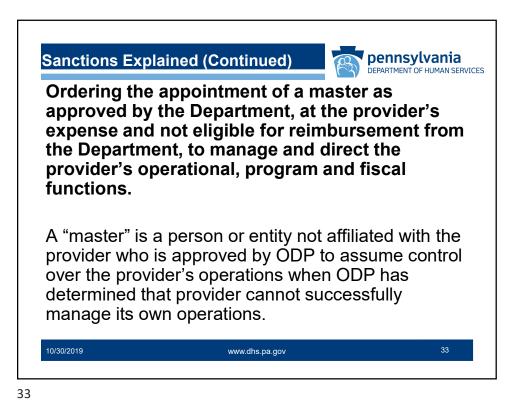


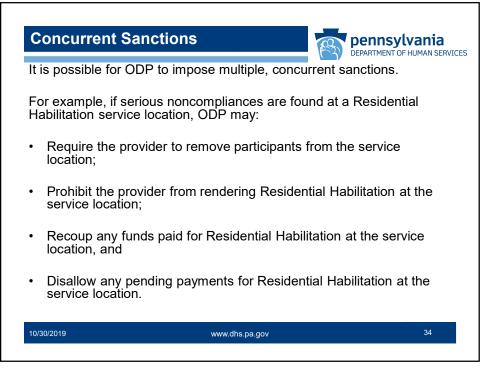




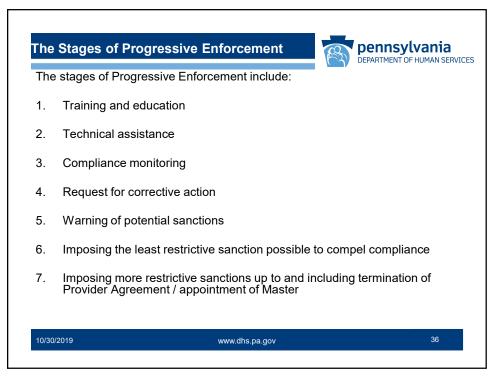




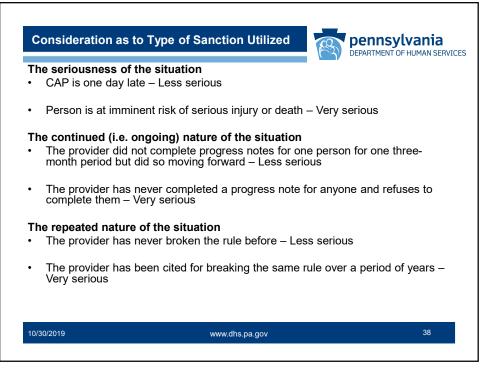


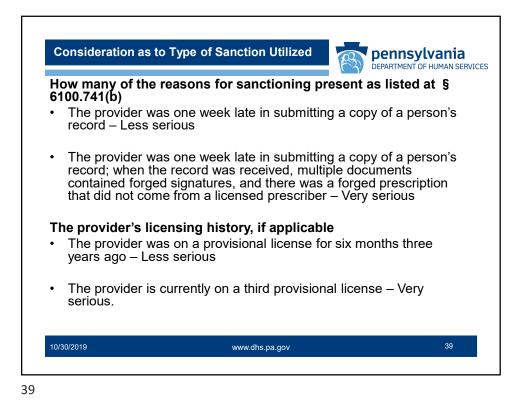


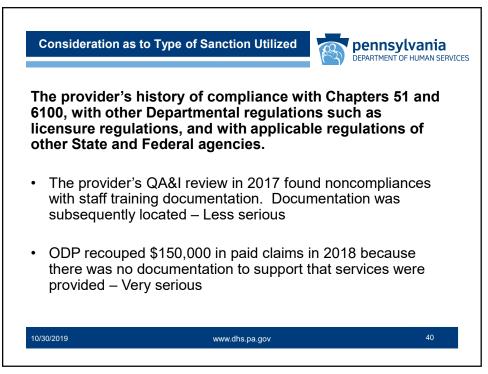




OD	Department of Type of Sanction Utilized pennsylvania Department of Human Service	
imp	plementing a sanction or combination of sanctions:	
1.	The seriousness of the situation	
2.	The continued (i.e. ongoing) nature of the situation	
3.	The repeated nature of the situation	
4.	How many of the reasons for sanctioning present as listed at § 6100.741(b)	
5.	The provider's licensing history, if applicable	
6.	The provider's history of compliance with Chapters 51 and 6100, with other Departmental regulations such as licensure regulations, and with applicable regulations of other State and Federal agencies.	
10/30	0/2019 www.dhs.pa.gov 37	







Questions and	Answers	DEPARTMENT OF HUMAN SERVICES
	2	
10/30/2019	www.dhs.pa.gov	41